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PTO/SB/05 (08-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. Y0R9-2000-0606US1 (8728-422).

First Inventor

Title | METHOD AND SYSTEM FOR MARKETPLAC

Daly for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL599449895US

APPLICATION ELEMENTS APPLICATION ELEMENTS ADDRESS TO: Box Patent Application Substrington Coolents	(Only for new nonprovisional a	pplications under 37 CFR 1.53(b))	Express Man Labor II	A - istant Commissio	oner for Patents n
See MEPE chapter 600 concerning utility patent application contents See Application (e.g., PC/SBIT)			ADDRESS TO:	Box Patent Application	on \triangleright
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preintinitary arretionary or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-In-part (CIP) Of prior application No 09 , 662,499	Fee MPEP chapter 600 concern 1. X Fee Transmittal Form Applicant dairns sma See 37 CFR 1.27. 3. X Specification (proferred arrangement set - Descriptive title of - Cross Reference to - Statement Regard - Reference to sequ or a computer prop - Background of the - Brief Summary of - Brief Description of - Detailed Description - Claim(s) - Abstract of the Di 4. X Drawing(s) (35 U.S) 5. Oath or Declaration a. Newly execute Copy from a p (for continuation i. DELETIC Signed state named in the 1.63(d)(2) a	ing utility patent application contents. (e.g., PTO/SB/17) care for fee processing) Ill entity status. [Total Pages 25]] forth below) the invention of Related Applications ing Fed sponsored R & D ence listing, a table, gram listing appendix e Invention of the Drawings (if filed) on sclosure (C. 113) [Total Sheets 4] [Total Pages] ed (original or copy) nor application (37 CFR 1.63 (d)) on/divisional with Box 17 completed) ON OF INVENTOR(S) ment attached deleting inventor(s) e prior application, see 37 CFR and 1.33(b).	7. CD-ROM or COmputer Property of Computer Property	Washington, DC 20: CD-R in duplicate, large ogram (Appendix) mino Acid Sequence Scessary) Readable Form (CRF) quence Listing on: M or CD-R (2 copies); of the copy of the copy of Priority Document (if the copy of Priority Document priority is claimed)	a table or ubmission or ove copies ON PARTS document(s)) Power of Attorney applicable) Copies of IDS Citations 503) ment(s)
Customer Number or Bar Code Label Customer Number or Bar Code Label Customer No. or Attach bar code label here) Or Correspondence address below	17. If a CONTINUING APPLIC or in an Application Data Shee Continuation Prior application information:	ATION, check appropriate box, and soft under 37 CFR 1.76: Divisional Continuation-in-part (Cineral Examiner ONAL APPS only: The entire disclosure of the disclosure of the accompanying contelled upon when a portion has been inactional disclosure of the accompanying contelled upon when a portion has been inactional disclosure of the accompanying contelled upon when a portion has been inactional disclosure of the accompanying contelled upon when a portion has been inactional disclosure of the accompanying contents.	P) of prior application of the prior application, from without or divisional applicative tently omitted from the subject of th	hich an oath or declaration and is hereby incorp	ion is supplied under
Customer Number or Bar Code Label Frank Chau, Esq.		18. CORRESPO	NUENCE ADDRESS		address below
F. CHAU & ASSOCIATES, LLP 1900 Hempstead Turnpike, Suite 501 City East Meadow State New York Zip Code 11554 Country USA Telephone 516-357-0091 Fax 516-357-009 Registration No. (Attorney/Agent) 34,136	Customer Number or Bar Code ,	Label [Insert Customer No. or Allac		Correspondence	, 800,800 80.4
F. CHAU & ASSOCIATES, LLP 1900 Hempstead Turnpike, Suite 501 2ip Code 11554	Name				
Frank Chau Registration No. (Attorney/Agent) 34,136	Address City	1900 Hempstead Turnp East Meadow	ike, Suite 501 State New Yor		de 11554 516-357-009
Registration No. (Allomey/Agent)	00011117	Frank Char		(Attornov/Agont)	34,136
	Name (Print/Type)	Frank Undu	Registration No		_

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Assistant Commissioner for Patents Washington, D.C. 20231 Sir:

ATTORNEY DOCKET: YOR9-2000-0606US1 (8728-422)

Date: November 30, 2000

Express Mail Label: EL2 Date of Deposit: Novem

449895US 30, 2000

Transmitted herewith for filing is the Patent Application of:

Thomas D. Erickson, Peter K. Malkin Inventors:

METHOD AND SYSTEM FOR MARKETPLACE SOCIAL PROXIES For:

Enclosed are: [X] 19 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 5 sheet(s) of claims; [X] 4 sheet(s) of drawing(s);

An assignment of the invention to International Business Machines Corporation with Recordation Form.

Declaration and Power of Attorney. []

application, from which priority A certified copy of a [] under Title 35 USC §119 is claimed.

[] Associate Power of Attorney.

The filing fee has been calculated as shown below:

(Col. 1) (Col. 2) OTHER THAN A SMALL ENTITY

	• • • • • • • • • • • • • • • • • • • •	•	
FOR:	NO. FILED	NO. EXTRA	
BASIC FEE			
TOTAL CLAIMS	24 -20 =	4	
INDEP CLAIMS	3 -3 =	0	
MULTIPLE DEPENDENT CLAIMS			

RATE	FEE
s	\$710.00
X \$18 =	72.00
X \$80 =	0
+ 270 =	
TOTAL	\$ 782.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

A check in the amount of \$ ____ to cover the [] filing fee(s), [] recording fee is

enclosed.

Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$782.00.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.

- Any additional filing fees required under 37 CFR 1.16. [X]
- Any patent application processing fees under 35 CFR 1.17. [X]

Respectfully submitted,

By:

Frank Chau

Registration No. 34,136

Please address all correspondence to:

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IBM Corporation

Intellectual Property Law Dept.

P.O. Box 218

Yorktown Heights, NY 10598

CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Application transmittal and documents referred to as enclosed are being deposited with the United States Postal Service on this date November 30, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL599449895US addressed to: Assistant Commissioner for Patents, Box Patent Application, Warnington, D.C. 20231.

Fank Chau

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 782.00

Complete if Known				
Application Number				
Filing Date	November 30, 2000			
First Named Inventor	Thomas D. Erickson			
Examiner Name				
Group Art Unit				
Attorney Docket No.	Y0R9-2000-0606US1 (8728-42			

METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES			
Deposit	Large Entity Small Entity Fee Fee Fee Fee Foo December 5 December			
Account S0-0510/IBM	Code (\$) Code (\$)			
Denosit	105 130 205 65 Surcharge - late filing fee or oath			
Account Name IBM/Yorktown Heights	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification			
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination			
See 37 CFR 1.27 2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
2. Payment Enclosed: Check Credit card Money Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month			
Large Entity Small Entity	117 890 217 445 Extension for reply within third month			
Fee Fee Fee Fee Description	118 1,390 218 695 Extension for reply within fourth month			
Code (\$) Code (\$) Fee Paid 101 710 201 355 Utility filing fee 710	128 1,890 228 945 Extension for reply within fifth month			
106 320 206 160 Design filing fee 710	119 310 219 155 Notice of Appeal			
107 490 207 245 Plant filing fee	120 310 220 155 Filing a brief in support of an appeal			
108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing			
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
	140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 710.00	141 1,240 241 620 Petition to revive - unintentional			
2. EXTRA CLAIM FEES	142 1,240 242 620 Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	143 440 243 220 Design issue fee			
Total Claims 24 -20** = 4 x 18 = 72	144 600 244 300 Plant issue fee			
Independent 3 - 3" = 0 x 80 = 0	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent 270 =	123 50 123 50 Petitions related to provisional applications			
1	126 240 126 240 Submission of Information Disclosure Stmt			
Large Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)			
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection			
102 80 202 40 Independent claims in excess of 3	(37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be			
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
109 80 209 40 ** Reissue independent claims over original patent	179 710 279 355 Request for Continued Examination (RCE)			
110 18 210 9 Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 72.00 Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3)				

SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Frank Chau 34,136 Telephone (516)357-0091 Signature

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